

ADVISORY & COMPLIANCE DAY

Brought to you by
 **GRAIN TRADE AUSTRALIA**
Facilitating Trade

ADVISORY & COMPLIANCE WORKSHOP PROGRAM

28 July 2026 (Hybrid) Location: Crown Promenade Melbourne & Zoom

All times are AEDT (Melbourne time). Program is subject to change

1:00 pm INTRODUCTION & WELCOME

1:05 pm Current Industry Issues, Advocacy and Policy update
Pat O'Shannassy, CEO Grain Trade Australia

1:20 pm AFSL Compliance: What ASIC is focusing on in 2026
Alicia Pevely, Sophie Grace Compliance & Legal (virtual)

- conflicted advice and documentation standards
- record keeping expectations
- wholesale vs retail client distinctions
- recent ASIC enforcement trends
- cyber obligations for AFSL holders

1:50 pm Disputes trends and Lessons from recent cases
Geoff Farnsworth, Independent Arbitrator

- common causes of disputes in current markets
- lessons from actual arbitration outcomes
- emerging contract interpretation issues
- managing counterparty risk

2:45 pm Update on Educational requirements and AI use and regulation in finance advisory
Maria Lykouras, CEO – Stockbrokers & Investment Advisors Association

- update on the latest proposals/outcomes and consideration from Treasury particularly around the AFSL and educational requirements acceptable use of AI in advisory businesses
- adoption and use of AI in financial services industry, both an operational and also regulatory/compliance perspective
- risks of AI-generated market commentary
- privacy/confidentiality concerns using AI without breaching AFSL obligations
- practical tools brokers are already adopting

3:10 pm SHORT BREAK

3:20 pm Australian Grain Market and Seasonal Outlook
Rod Baker – Bendigo Bank (confirmed)

- volatility outlook
- weather and production risks
- global market drivers
- implications for hedging and advisory activity

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3:40pm High Fuel Costs and Supply Chain Relief

Owen Web, Partner HFW

- measures introduced by the Federal Government for tax relief, regulatory changes and supply-chain interventions to ease pressure on logistics and transport companies exposed to rising fuel costs

4:00pm Managing grain quality - changes to Workplace Exposure Limits (WELs) for phosphine - impacts and ideal outcomes

Tim Ross, GTA Projects and Operations Manager

- customer/export market expectations
- supply chain risks/opportunities
- Impacts for growers including on-farm storage and selling delivered
- implications for grain marketing and advisory services

4:30 pm Open Industry Forum & Key Risk themes for 2026

5:00 pm Close

Attendees who complete the assessment will receive a certificate from GTA attesting to the successful completion of a one-day structured training that could be utilised by Australian Financial Services License holders.

[**MORE INFORMATION & REGISTRATIONS – CLICK HERE**](#)