## ADVISORY & COMPLIANCE WORKSHOP PROGRAM

6<sup>th</sup> July 2023 – delivered via Zoom

All times are AEST (Sydney). Program is subject to change

10.00 am	SIGN-IN
10.05 am	INTRODUCTION & WELCOME
10:10 am	Activities & Actions facilitating Trade - Grain Trade Australia Pat O'Shannassy, CEO Grain Trade Australia
10.30 am	Australian Crop Outlook Ole Houe, CEO IKON Commodities
11.00 am	Arbitrations – Issues, Lessons and Small Claims Geoff Farnsworth, Partner, Holding Redlich MORNING TEA BREAK
	Treatment 12/15/12/11
11.45 am	AFSL - Current Issues and FASEA Update Alicia Pevely, Sophie Grace Compliance & Legal (invited)
12.30 pm	ACCC Agriculture Update Mick Keogh, Deputy Commissioner ACCC
1.00 pm	LUNCH
1.30 pm	Industry Alignment – Update on Stockbroker & Investment Advisors perspective on Financial Advisory regulation  Judith Fox, CEO, Stockbrokers and Investment Advisers Association
2.00pm	Artificial Intelligence – Impacts for forecasting Carolina Ferreira, Founder & Director, Octopusbot
2.30 pm	AFTERNOON TEA
2.50 pm	Data Security – Everyone's Obligation and Some Practice Tips Julia Love, Finance and Administration Manager, GTA
3.10 pm	Insolvency Clauses and Issues Stephen Thompson, Partner, HFW
3.30 pm	Proactively approaching ESG & Sustainability Toby Wright, Head of Sustainability, CBH Group
4.00 pm	Open Forum – Issues in the Industry
4.20 pm	Closing Remarks & AFSL – Professional Development Assessment
4:30 pm	CLOSE

Attendees who complete the assessment will receive a certificate from GTA attesting to the successful completion of one day structured training that could be utilised by Australian Financial Services License holders.